

# *Solving multivariate polynomial systems over finite fields : Hybrid approach*

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## *Introduction*

Motivations

PoSSo problem

## *Polynomial system solving*

Gröbner bases

Algorithms and complexity

## *Hybrid approach*

Presentation of the hybrid approach

Complexity analysis

## *Application to multivariate cryptography*

Presentation of Multivariate Cryptography

Cryptanalysis of UOV

Security analysis of multivariate signature schemes

## *Conclusion*

## *Motivations*

- Algebraic cryptanalysis
- General algorithms
- Design of cryptographic schemes.

Given  $f_1(x_1, \dots, x_n), \dots, f_m(x_1, \dots, x_n)$  of  $\mathbb{F}_q[x_1, \dots, x_n]$ , does there exist  $z_1, \dots, z_n \in \mathbb{F}_q^n$  such that:

$$\begin{cases} f_1(z_1, \dots, z_n) = 0 \\ \vdots \\ f_m(z_1, \dots, z_n) = 0 \end{cases}$$

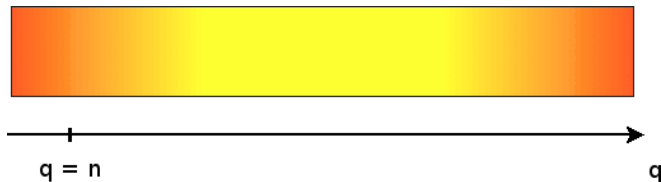
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- Polynomial System Solving is NP-hard
- Hard in practice for generic polynomials.

- Exhaustive search
- Gröbner bases
- Gröbner bases with field equations

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## Algorithms

- Buchberger : the historical algorithm
- $F_4$  : linear algebra on matrices
- $F_5$  : no useless computations for semi-regular systems



Jean-Charles Faugère.

A new efficient algorithm for computing Gröbner bases ( $F_4$ ).

*Journal of Pure and Applied Algebra* 139, June 1999.



Jean-Charles Faugère.

A new efficient algorithm for computing Gröbner bases without reduction to zero ( $F_5$ ).

*ISSAC 2002*, July 2002.



## Algorithms

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$$F_5 : \mathcal{O}\left(\left(m \cdot \binom{n+d_{\text{reg}}-1}{d_{\text{reg}}}\right)^\omega\right), \quad \text{FGLM} : \mathcal{O}(n \cdot D^\omega),$$

with  $2 \leq \omega \leq 3$ ,

$D$  the number of solutions in  $\overline{\mathbb{K}}$ .



Magali Bardet, Jean-Charles Faugère, Bruno Salvy and Bo-Yin Yang.

Asymptotic Behaviour of the Degree of Regularity of Semi-Regular Polynomial Systems.

*MEGA 2005.*

## Algorithms

- $F_5$  : no useless computations for **semi-regular systems**

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## Semi-regular systems

- A system of unrelated polynomials
- The degree of regularity ( $d_{\text{reg}}$ ) can be known **a priori**
- The more equations we have, the more  $d_{\text{reg}}$  decrease.

(e.g. for quadratic systems)

$$m : n \rightarrow n+1$$

$$d_{\text{reg}} : n+1 \rightarrow \left\lceil \frac{n+1}{2} \right\rceil$$

## Algorithms

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## Semi-regular systems

- A system of unrelated polynomials  $\approx$  **a random system**
- The degree of regularity ( $d_{\text{reg}}$ ) can be known **a priori**
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$$m : n \rightarrow n+1$$

$$d_{\text{reg}} : n+1 \rightarrow \lceil \frac{n+1}{2} \rceil$$

$f_i \in \mathbb{F}_q[x_1, \dots, x_n]$  for  $1 \leq i \leq n$

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*Specificity ( $m = n$ )*

- Random systems  $\Rightarrow d_{reg} = n(d-1) + 1$
- Square systems  $\Rightarrow d^n$  solutions in the algebraic closure.
- $\mathbb{F}_q$  is finite and rather big (no field equations).

## *Solution*

We specialize  $k$  variables of the system (exhaustive search)

⇒ the system becomes **over-defined**

- + The degree of regularity decreases
- + The number of solutions is 0 or 1
- We have to compute  $q^k$  Gröbner bases.



Luk Bettale, Jean-Charles Faugère and Ludovic Perret.

Hybrid approach for solving multivariate systems over finite fields.

*In Journal of Mathematical Cryptology, Volume 3, issue 3. Sep 2009.*

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A **tradeoff** between exhaustive search and Gröbner bases computation.

## Proposition

Let  $\mathbb{F}_q$  be a finite field and  $\{f_1, \dots, f_n\} \subset \mathbb{F}_q[x_1, \dots, x_n]$  be a semi-regular system of equations of degree  $d$ .

$$\mathcal{O} \left( \underbrace{\min_{0 \leq k \leq n}}_{\text{tradeoff}} \left( \underbrace{q^k}_{\text{exh. search}} \underbrace{\left( n \cdot \binom{n-k-1+d_{\text{reg}}(n-k,n,d)}{d_{\text{reg}}(n-k,n,d)} \right)^\omega}_{F_5} \underbrace{+ n \cdot D^\omega}_{\text{FGLM}} \right) \right),$$

where  $2 \leq \omega \leq 3$ .

$d_{\text{reg}}(n, m, d)$  is the  $d_{\text{reg}}$  of a semi-regular system of  $m$  equations of degree  $d$  in  $n$  variables.



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## Approximation of $d_{\text{reg}}(n - k, n, 2)$

$$d_{\text{reg}} \sim \frac{n+k}{2} - \sqrt{nk} + \mathcal{O}((n-k)^{1/3})$$

when  $n \rightarrow \infty$ .



Magali Bardet

Étude des systèmes algébriques surdéterminés. Applications aux codes correcteurs et à la cryptographie.

*Ph.D. thesis, Université de Paris VI, 2004.*

## Approximation of the complexity

$$C_{\text{Hyb}} = \mathcal{O} \left( q^k \left( \frac{n}{\sqrt{2\pi}} \right)^\omega \left( \frac{\left( \frac{3n-k}{2} - 1 - \sqrt{nk} \right)^{(3n-k-1)/2 - \sqrt{nk}}}{(n-k-1)^{(n-k-1)/2} \left( \frac{n+k}{2} - \sqrt{nk} \right)^{(n+k+1)/2 - \sqrt{nk}}} \right)^\omega \right)$$

when  $n \rightarrow \infty$ .

Find the **best tradeoff** by solving  $\frac{\partial \log(C_{Hyb})}{\partial k} = 0$ .

$$\begin{aligned} & \log(q) + \omega \left( \log(n - k - 1) + \frac{1}{2(n - k - 1)} \right) \\ & - \frac{\omega}{2} (1 + \sqrt{n/k}) \left( \log \left( \frac{3n - k}{2} - 1 - \sqrt{nk} \right) + \frac{1}{2 \left( \frac{3n - k}{2} - 1 - \sqrt{nk} \right)} \right) \\ & - \frac{\omega}{2} (1 - \sqrt{n/k}) \left( \log \left( \frac{n + k}{2} - \sqrt{nk} \right) + \frac{1}{2 \left( \frac{n + k}{2} - \sqrt{nk} \right)} \right) = 0. \end{aligned}$$

## Finding the best tradeoff ( $d = 2$ )

Find the **best tradeoff** by solving  $\frac{\partial \log(C_{Hyb})}{\partial k} = 0$ .

$$k \approx \frac{n}{c^2}$$

$$8q(c-1)^{3c-3} e^{-3/2 c \ln((3c+1)(c-1))} (c-1)^3 (c+1)^3 \\ - ((3c+1)(c-1))^{3/2} = 0$$

$q$	2	16	256	65521	$2^{32}$	$2^{64}$	$2^{80}$
$c^2$	1.23	3.07	9.15	37.13	160.37	678.32	1073.1

## Borderline case ( $d = 2$ )

Classical approach

$$(d_{reg} = n + 1)$$

$$\mathcal{O}\left(\left(n \cdot \binom{2n}{n-1}\right)^\omega\right).$$

Hybrid approach with  $k = 1$

$$(d_{reg} = \lceil \frac{n+1}{2} \rceil)$$

$$\mathcal{O}\left(q \left(n \cdot \binom{3(n-1)/2}{n-2}\right)^\omega\right).$$

*Best tradeoff*  $> 0$

$$\log_2(q) \leq 0.6226 \cdot \omega \cdot n + \mathcal{O}(\log_2(n))$$

when  $n \rightarrow \infty$ .

# Borderline case ( $d = 2$ )

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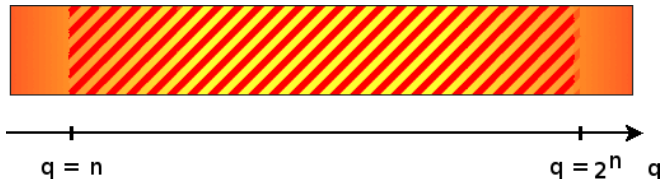
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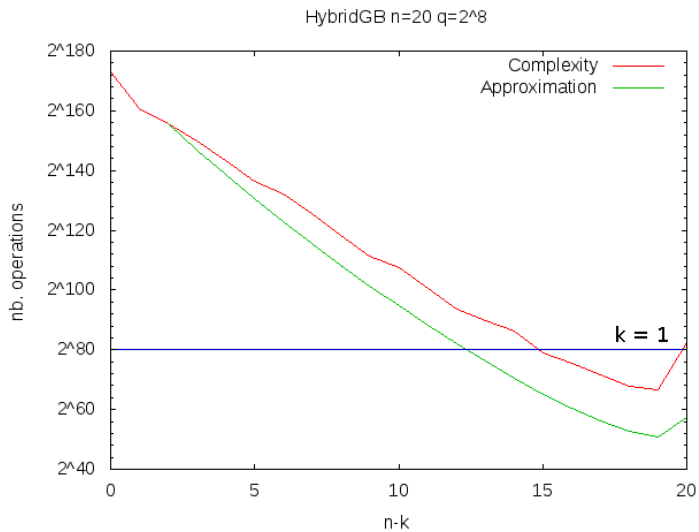
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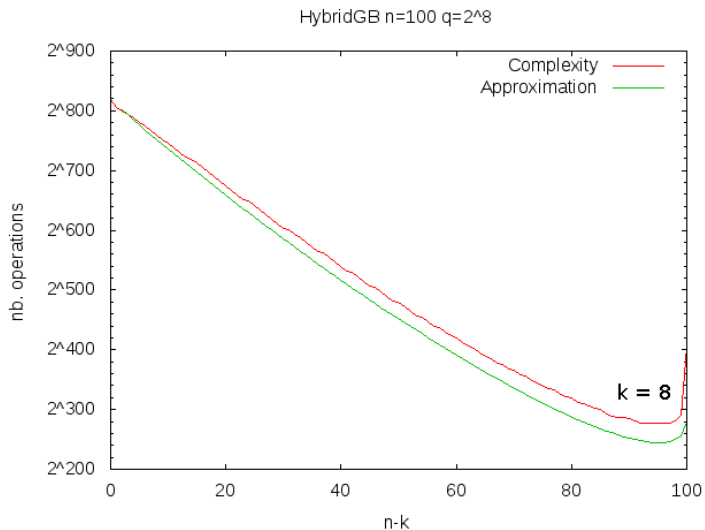
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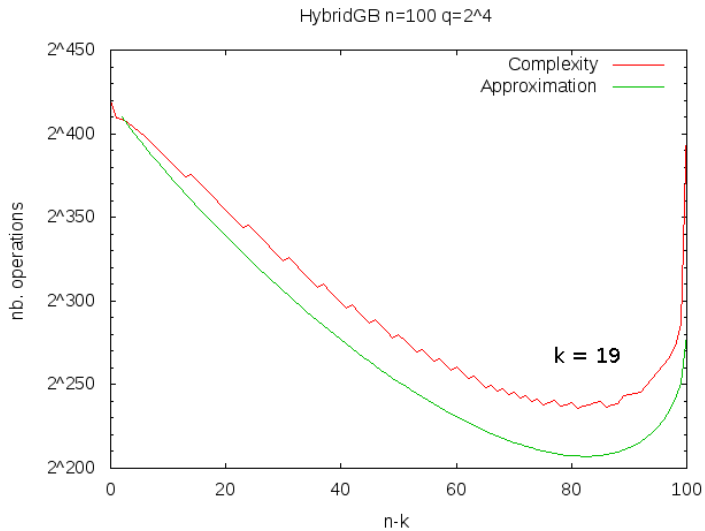
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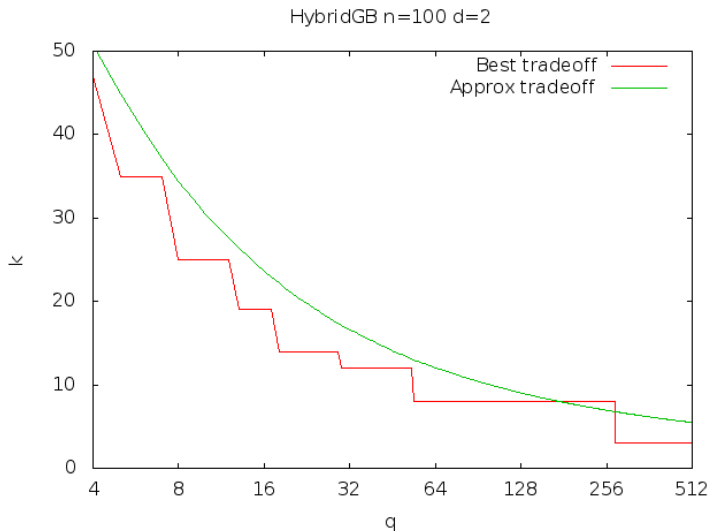


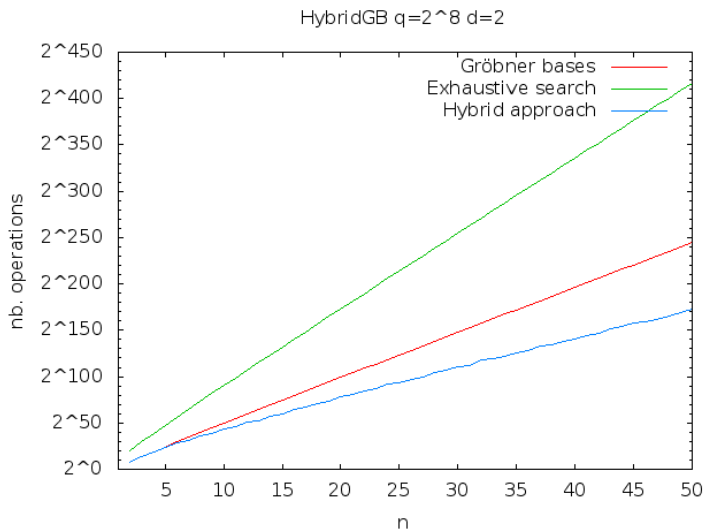


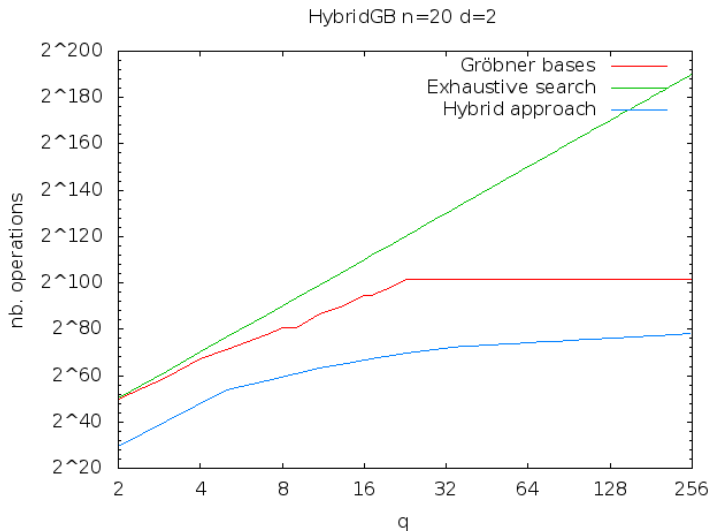












**Input:**  $\mathbb{K}$  is finite,  $\{f_1, \dots, f_m\} \subset \mathbb{K}[x_1, \dots, x_n]$  is zero-dimensional,  $k \in \mathbb{N}$ .

**Output:**  $\mathcal{S} = \{(z_1, \dots, z_n) \in \mathbb{K}^n : f_i(z_1, \dots, z_n) = 0, 1 \leq i \leq m\}$ .

$\mathcal{S} := \emptyset$

**for all**  $(v_1, \dots, v_k) \in \mathbb{K}^k$  **do**

Find the set of solutions  $\mathcal{S}' \subset \mathbb{K}^{(n-k)}$  of

$$\begin{cases} f_1(x_1, \dots, x_{n-k}, v_1, \dots, v_k) = 0 \\ \vdots \\ f_m(x_1, \dots, x_{n-k}, v_1, \dots, v_k) = 0 \end{cases}$$

using the zero-dim solving strategy.

$\mathcal{S} := \mathcal{S} \cup \{(z'_1, \dots, z'_{n-k}, v_1, \dots, v_k) : (z'_1, \dots, z'_{n-k}) \in \mathcal{S}'\}$ .

**end for**

**return**  $\mathcal{S}$ .

```
function HybridSolving(F,k)
  R := Universe(F); K := BaseRing(R); n := Rank(R);
  Rp<[x]> := PolynomialRing(K,n-k);
  Kev := VectorSpace(K,k);
  S := [ ];
  for e in Kev do
    v := Eltseq(e);
    fp := [ Evaluate(f,x cat v) : f in F ];
    Sp := VarietySequence(Ideal(fp));
    S cat:= [ s cat v : s in Sp ];
  end for;
  return S;
end function;
```

<http://www-salsa.lip6.fr/~bettale/hybrid.html>

## *Properties*

- The public key is a quadratic system
- Very efficient (hardware)
- Resist quantum computers.

## *Examples*

- C\*, HFE
- UOV, SFLASH
- ...



## Secret key

$$\begin{aligned} \mathbf{F}: \mathbb{F}_q^{n+r} &\rightarrow \mathbb{F}_q^n && \text{Easy to invert} \\ (x_1, \dots, x_{n+r}) &\rightarrow (f_1(x_1, \dots, x_{n+r}), \dots, f_n(x_1, \dots, x_{n+r})) \end{aligned}$$

$$T \in \text{GL}_{n+r}(\mathbb{F}_q)$$

## Public key

$$\begin{aligned} \mathbf{G}: \mathbb{F}_q^{n+r} &\rightarrow \mathbb{F}_q^n \\ (x_1, \dots, x_{n+r}) &\rightarrow (g_1(x_1, \dots, x_{n+r}), \dots, g_n(x_1, \dots, x_{n+r})) \end{aligned}$$

$$\mathbf{G} = \mathbf{F} \circ T = \mathbf{F}(\mathbf{x} \cdot T). \quad \text{Verify}_{\mathbf{G}}(\mathbf{x}): \text{Evaluate } \mathbf{G}(\mathbf{x})$$

## Secret key

$$\begin{aligned} \mathbf{F} : \mathbb{F}_q^{n+r} &\rightarrow \mathbb{F}_q^n && \text{Easy to invert} \\ (x_1, \dots, x_{n+r}) &\rightarrow (f_1(x_1, \dots, x_{n+r}), \dots, f_n(x_1, \dots, x_{n+r})) \\ f_k &= \sum_{x_i \in O, x_j \in V} a_{i,j}^{(k)} x_i x_j + \sum_{x_i, x_j \in V} a_{i,j}^{(k)} x_i x_j + \sum_{x_i \in OUV} a_i^{(k)} x_i \end{aligned}$$

$$\mathbf{T} \in \text{GL}_{n+r}(\mathbb{F}_q)$$

## Public key

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Signature of a message  $\mathbf{m} = (m_1, \dots, m_n)$

- 1 Pick  $(v_1, \dots, v_r) \in \mathbb{F}_q^r$
- 2 Solve the **linear** system

$$\begin{cases} f_1(x_1, \dots, x_n, v_1, \dots, v_r) - m_1 = 0 \\ \vdots \\ f_n(x_1, \dots, x_n, v_1, \dots, v_r) - m_n = 0 \end{cases}$$

- 3  $\mathbf{s} = (z_1, \dots, z_n, v_1, \dots, v_r) \cdot T^{-1}$

Verification of the signature  $\mathbf{s} = (s_1, \dots, s_{n+r})$

$$\mathbf{m} = (g_1(s_1, \dots, s_{n+r}), \dots, g_n(s_1, \dots, s_{n+r}))$$

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## *Signature forgery attack*

Given a message  $\mathbf{m} = (m_1, \dots, m_n)$ , find a signature  $(s_1, \dots, s_{n+r})$  such that  $\mathbf{G}(\mathbf{x}) = \mathbf{m}$ .

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Parameters:  $q = 2^4, n = 16$ .



An Braeken, Bart Preneel, and Christopher Wolf

A Study of the Security of Unbalanced Oil and Vinegar Signature Schemes

CT-RSA 05.

$q$	$n$	$k$	$T_{F_5}$	mem. (MB)	$\text{Nop}_{F_5}$	$\text{Nop}$
$2^4$	16	1	$\approx 1$ h	3532	$2^{36.9}$	$2^{40.9}$
		2	126 s	270	$2^{32.3}$	$2^{40.5}$
		3	9.41 s	38	$2^{28.7}$	$2^{40.7}$

Best tradeoff :  $k = 2$ . Broken in  $\leq 9$ h.



Jean-Charles Faugère, and Ludovic Perret.

On the security of UOV.

SCC 2008.

	$n$	$q$	expected security	Gröbner basis ( $k = 0$ )	hybrid approach	mem.
UOV <sub>30</sub>	10	$2^8$	$2^{80}$	$2^{41}$	$2^{37}$ ( $k = 1$ )	2 MB
UOV <sub>60</sub>	20	$2^8$	$2^{160}$	$2^{82}$	$2^{66}$ ( $k = 1$ )	139 GB
enTTS					$2^{67}$ ( $k = 2$ )	12 GB
Rainbow	24	$2^8$	$2^{192}$	$2^{98}$	$2^{78}$ ( $k = 1$ )	10 TB
amTTS					$2^{79}$ ( $k = 2$ )	816 GB



Andrey Bogdanov, Thomas Eisenbarth, Andy Rupp, and Christopher Wolf  
Time-Area Optimized Public-Key Engines: MQ-Cryptosystems as Replacement for Elliptic Curves?

*CHES '08: Proceedings of the 10th international workshop on Cryptographic Hardware and Embedded Systems*

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## *Applications in cryptography*

- A general tool for solving random systems over finite field
- Reevaluate parameters of multivariate cryptosystems
- Natural generalization : Block hybrid approach
- Implementation in MAGMA.

<http://www-salsa.lip6.fr/~bettale/hybrid.html>